



Securities offered through  
GWFS Equities, Inc.  
A Great-West Company

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## FINRA BrokerCheck<sup>®</sup> Notification

Our relationship with you is important to us, so we want to make sure you understand that, as an investor, you have the right to research the professional background of brokerage firms and representatives registered with the Financial Industry Regulatory Authority (FINRA<sup>®</sup>) to conduct securities business.

To assist you with your research, you can take advantage of the FINRA BrokerCheck tool. FINRA BrokerCheck is a free resource you can use to conduct that research and can help you make an informed decision as to the firms and representatives with whom you choose to engage in a business relationship. This information is obtained through form filings that registered representatives, brokerage firms and regulators complete as part of the securities industry registration and licensing process.

Through BrokerCheck, you can:

- Search for both representatives and brokerage firms
- Obtain online delivery of a background report
- View explanatory information to help you better understand the content provided
- See links of additional resources and tools

To get started, go to <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/> and click on the Start Search button. This website also allows you to submit questions you have about BrokerCheck via email.

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